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EMPERADOR INC.

## Emperador Inc. EMP

### PSE Disclosure Form 17-12 - List of Top 100 Stockholders *Reference: Section 17.12 of the Revised Disclosure Rules*

#### Type of Securities

Common	
Preferred	N/A
Others	N/A

<b>For the period ended</b>	Sep 30, 2016
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#### Description of the Disclosure

We are submitting to you the report of the list of Top 100 Stockholders and PCD Participants of Emperador Inc. as of 30 September 2016.

#### Filed on behalf by:

<b>Name</b>	Erika Marie Tugano
<b>Designation</b>	Authorized Representative



## EMPERADOR INC.

7F 1880 Eastwood Avenue, Eastwood City CyberPark, 188 E. Rodriguez Jr. Avenue,  
Bagumbayan 1110, Quezon City, Philippines Tel: (632) 709-2038 to 41 Fax: (632) 709-1966

05 October 2016

### **THE PHILIPPINE STOCK EXCHANGE, INC.**

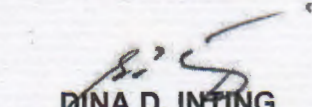
3/F Philippine Stock Exchange Plaza  
Ayala Triangle, Ayala Avenue  
Makati City

Attention: **JOSE VALERIANO B. ZUÑO III**  
Head, Disclosure Department

Gentlemen:

We are submitting to you the report of the list of Top 100 Stockholders and PCD Participants of Emperador Inc. as of 30 September 2016.

Very truly yours,

  
**DINA D. INTING**  
Compliance Officer and  
Corporate Information Officer

LIST OF TOP 100 STOCKHOLDERS  
As Of September 30, 2016

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL	NATIONALITY
ALLIANCE GLOBAL GROUP, INC.	10,686,423,000		0	66.293	FILIPINO
PCD NOMINEE CORPORATION (NON-FILIPINO)	2,099,837,179		0	13.026	OTHERS
ALLIANCE GLOBAL GROUP, INC.	1,020,062,000		0	6.328	FILIPINO
DEW DREAMS INTERNATIONAL LTD.	720,000,000		0	4.467	BRITISH VIRGIN ISLAND
SHIOK SUCCESS INTERNATIONAL LTD.	711,764,995		0	4.415	BRITISH VIRGIN ISLAND
PCD NOMINEE CORPORATION (FILIPINO)	663,471,250		0	4.116	FILIPINO
THE ANDRESONS GROUP, INC.	129,460,000		0	0.803	FILIPINO
THE ANDRESONS GROUP, INC.	88,406,400		0	0.548	FILIPINO
WILLIAM RAGOS ENRILE II	300,000		0	0.002	FILIPINO
CHAD V. VALENCIA	100,000		0	0.001	FILIPINO
JOHN T. LAO	60,000		0	0.000	FILIPINO
ERIC U. LIM	40,000		0	0.000	FILIPINO
MARJORIE ANN LIM LEE	30,000		0	0.000	FILIPINO
EDWIN U. LIM	30,000		0	0.000	FILIPINO
ALFRED REITERER	10,000		0	0.000	AUSTRIAN
DONDI RON R. LIMGENCO	1,111		0	0.000	FILIPINO
FREDERICK D. GO	1,000		0	0.000	FILIPINO
MA. CHRISTMAS R. NOLASCO	1,000		0	0.000	FILIPINO
STEPHEN G. SOLIVEN	1,000		0	0.000	FILIPINO
DEMETRIO D. MATEO	500		0	0.000	FILIPINO
CHRISTINE F. HERRERA	100		0	0.000	FILIPINO
JULIUS VICTOR EMMANUEL D SANVICTORES	100		0	0.000	FILIPINO
JOSEPH A. SY &/OR EVANGELINE T. SY	100		0	0.000	FILIPINO
JESUS SAN LUIS VALENCIA	100		0	0.000	FILIPINO
BARTHOLOMEW DYBUNCIO YOUNG	100		0	0.000	FILIPINO
OWEN NATHANIEL S AU ITF: LI MARCUS AU	50		0	0.000	FILIPINO
JOSELITO T. BAUTISTA	9		0	0.000	FILIPINO
WINSTON S. CO	1		0	0.000	FILIPINO
KINGSON U. SIAN	1		0	0.000	FILIPINO
ANDREW L. TAN	1		0	0.000	FILIPINO
KATHERINE L. TAN	1		0	0.000	FILIPINO
KENDRICK ANDREW L. TAN	1		0	0.000	FILIPINO
ALEJO L. VILLANUEVA, JR.	1		0	0.000	FILIPINO
GRAND TOTAL	<u>16,120,000,000</u>		<u>0</u>	<u>16,120,000,000</u>	



## TOP 100 PDTC PARTICIPANTS

Business Date 09/30/2016

Security ID: EMP000000000		Security Name: EMPERADOR INC.		
Transfer Agent: EQBKTA00000		Transfer Agent Name: BANCO DE ORO UNIBANK, INC. TRANSFER AGENT		
S. No.	BPID	BPNAME	HOLDING	%HOLDING
1	HSBC1000000	THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	1,550,468,100.00	56.1091221
2	DEUB1000000	DEUTSCHE BANK MANILA-CLIENTS A/C	272,671,280.00	9.8675659
3	CITI1000000	CITIBANK N.A.	220,467,900.00	7.9784036
4	SCBK1000056	GIC PRIVATE LTD.	163,135,500.00	5.9036298
5	CITI1000015	CITIBANK FAO PHILIPPINE AXA CHINESE TYCOON	67,909,600.00	2.4575469
6	16700000000	AURORA SECURITIES, INC.	63,604,801.00	2.3017626
7	20300000000	COL Financial Group, Inc.	52,227,226.00	1.8900252
8	GSIS1000000	GOVERNMENT SERVICE INSURANCE SYSTEM	43,956,300.00	1.5907128
9	HSBC2000000	THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	36,410,660.00	1.3176473
10	CITI1000010	CITIBANK N.A.	31,127,911.00	1.1264726
11	SCBK1000000	STANDARD CHARTERED BANK	29,347,796.00	1.0620529
12	10200000000	ABACUS SECURITIES CORPORATION	10,489,663.00	0.3796052
13	CITI1000007	CITIBANK FAO PHILIPPINE AXA WEALTH EQUITY	10,220,037.00	0.3698479
14	26300000000	VENTURE SECURITIES, INC.	9,484,300.00	0.3432226
15	SCTD1000000	MBTC - TRUST BANKING GROUP	9,269,813.00	0.3354607
16	CITI1000004	CITIBANK N.A.	9,109,830.00	0.3296711
17	22000000000	MAYBANK ATR KIM ENG SECURITIES, INC.	8,500,100.00	0.3076059
18	12600000000	BPI SECURITIES CORPORATION	8,434,473.00	0.3052310
19	11500000000	SB EQUITIES, INC.	7,362,000.00	0.2664198
20	21900000000	PAPA SECURITIES CORPORATION	7,037,529.00	0.2546777
21	26900000002	WEALTH SECURITIES, INC.	6,345,100.00	0.2296197
22	26700000000	FIRST METRO SECURITIES BROKERAGE CORP.	6,049,430.00	0.2189198
23	21700000000	RCBC SECURITIES, INC.	5,987,700.00	0.2166859
24	27800000000	YU & COMPANY, INC.	5,324,500.00	0.1926857
25	27900000000	BDO SECURITIES CORPORATION	5,306,400.00	0.1920307
26	23600000000	R. NUBLA SECURITIES, INC.	5,185,300.00	0.1876483
27	BCDO2000000	BANCO DE ORO - TRUST BANKING GROUP	5,086,000.00	0.1840547
28	CITI1000001	CITIBANK N.A.	5,073,400.00	0.1835988

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S. No.	BPID	BPNAME	HOLDING	%HOLDING
29	UCPB1000000	UNITED COCONUT PLANTERS BANK-TRUST BANKING	4,943,100.00	0.1788834
30	22000000021	UNIONBANK TISG FAO CARITAS LIFE INSURANCE CORPORATION	4,646,000.00	0.1681318
31	28600000000	SOLAR SECURITIES, INC.	4,514,500.00	0.1633730
32	11100000000	ANSALDO, GODINEZ & CO., INC.	4,331,800.00	0.1567614
33	2200000VI18	ATR KIMENG SEC. INC.	4,120,000.00	0.1490966
34	CITI1000006	CITIBANK FAO PHILIPPINE AXA WEALTH BALANCED	4,080,463.00	0.1476659
35	27500000000	YAO & ZIALCITA, INC.	3,833,000.00	0.1387105
36	RCBC1000056	RCBC TRUST & INVESTMENT GROUP FAO 45-120-7 (T/E)	3,636,500.00	0.1315995
37	10300000000	PHILSTOCKS FINANCIAL INC	3,023,970.00	0.1094330
38	11800000000	ASIASEC EQUITIES, INC.	2,999,000.00	0.1085293
39	CITI1000011	CITIBANK FAO PHILIPPINE AXA OPPORTUNITY	2,800,000.00	0.1013278
40	52800000000	STAR ALLIANCE SECURITIES CORP.	2,598,500.00	0.0940358
41	BCDO2000007	BANCO DE ORO - TRUST BANKING GROUP	2,550,100.00	0.0922843
42	22000000009	BENEFICIAL LIFE INSURANCE CO., INC.	2,280,000.00	0.0825098
43	11000000000	AP SECURITIES INCORPORATED	2,016,800.00	0.0729850
44	15400000000	EVERGREEN STOCK BROKERAGE & SEC., INC.	1,845,800.00	0.0667967
45	23500000000	REGINA CAPITAL DEVELOPMENT CORPORATION	1,844,787.00	0.0667601
46	34500000000	UNICAPITAL SECURITIES INC.	1,828,624.00	0.0661752
47	SCTD1000016	MBTC TBG AS IM FOR GOVT SERVICE INSURANCE SYSTEM	1,792,600.00	0.0648715
48	11200000000	AB CAPITAL SECURITIES, INC.	1,655,400.00	0.0599065
49	26900000000	WEALTH SECURITIES, INC.	1,646,500.00	0.0595844
50	23000000000	QUALITY INVESTMENTS & SECURITIES CORPORATION	1,627,200.00	0.0588859
51	19000000000	VALUE QUEST SECURITIES CORPORATION	1,580,000.00	0.0571778
52	HSBC2000011	SUN LIFE GREPA FINANCIAL, INC.	1,516,600.00	0.0548835
53	27200000000	BERNAD SECURITIES, INC.	1,478,000.00	0.0534866
54	17400000000	HDI SECURITIES, INC.	1,441,800.00	0.0521766
55	24700000000	STANDARD SECURITIES CORPORATION	1,374,000.00	0.0497230
56	18000000000	I. B. GIMENEZ SECURITIES, INC.	1,241,800.00	0.0449389
57	15000000000	EASTERN SECURITIES DEVELOPMENT CORPORATION	1,099,700.00	0.0397965
58	18200000000	IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	1,083,800.00	0.0392211
59	MGAP1000000	MAA GENERAL ASSURANCE PHILS., INC.	1,075,500.00	0.0389207
60	23800000000	R. S. LIM & CO., INC.	1,070,500.00	0.0387398
61	20600000000	MERIDIAN SECURITIES, INC.	1,069,500.00	0.0387036
62	28300000000	EAGLE EQUITIES, INC.	1,040,000.00	0.0376360
63	PNBC2000000	PNB TRUST BANKING GROUP	1,037,100.00	0.0375311
64	24600000000	SUMMIT SECURITIES, INC.	1,018,800.00	0.0368688
65	10100000000	A & A SECURITIES, INC.	974,400.00	0.0352621
66	17500000000	H. E. BENNETT SECURITIES, INC.	962,500.00	0.0348314
67	25300000000	TOWER SECURITIES, INC.	960,400.00	0.0347554
68	27000000000	WESTLINK GLOBAL EQUITIES, INC.	854,200.00	0.0309122

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69	14700000000	E. CHUA CHIACO SECURITIES, INC.	827,200.00	0.0299351
70	28200000000	PCCI SECURITIES BROKERS CORP.	772,200.00	0.0279448
71	32800000000	DW CAPITAL INC.	758,700.00	0.0274562
72	12400000000	B. H. CHUA SECURITIES CORPORATION	746,000.00	0.0269966
73	26900000003	WEALTH SECURITIES, INC.	738,000.00	0.0267071
74	BCDO2000210	BDO EQIF	720,410.00	0.0260706
75	13600000000	TRITON SECURITIES CORP.	661,700.00	0.0239459
76	21800000000	PAN ASIA SECURITIES CORP.	641,000.00	0.0231968
77	OOVV1000000	UCPB GENERAL INSURANCE CO., INC.	620,000.00	0.0224369
78	24900000000	SUPREME STOCKBROKERS, INC	614,300.00	0.0222306
79	22400000000	PNB SECURITIES, INC.	608,100.00	0.0220062
80	23300000000	R. COYIUTO SECURITIES, INC.	582,500.00	0.0210798
81	BCDO2000053	BDO TRUST BANKING GROUP	550,000.00	0.0199037
82	17000000000	GOLDSTAR SECURITIES, INC.	505,000.00	0.0182752
83	DEUB2000000	DEUTSCHE BANK MANILA-CLIENTS A/C	499,701.00	0.0180834
84	BCDO2000005	GENERALI PILIPINAS LIFE ASSURANCE COMPANY - GF	483,600.00	0.0175008
85	BCDO2000071	IMA#201-78074	400,700.00	0.0145007
86	25900000000	UCPB SECURITIES, INC.	398,500.00	0.0144211
87	DEUB2000007	DEUTSCHE BANK AG MANILA BRANCH A/C CLIENTS DEUB20	387,691.00	0.0140300
88	22300000000	PLATINUM SECURITIES, INC.	382,000.00	0.0138240
89	21100000000	NEW WORLD SECURITIES CO., INC.	377,000.00	0.0136431
90	RCBC1000000	RCBC TRUST & INVESTMENT DIVISION	366,700.00	0.0132703
91	12200000000	BELSON SECURITIES, INC.	333,800.00	0.0120797
92	16800000000	GLOBALINKS SECURITIES & STOCKS, INC.	330,400.00	0.0119567
93	38900000000	KING'S POWER SECURITIES, INC.	330,000.00	0.0119422
94	20000000000	MANDARIN SECURITIES CORPORATION	328,800.00	0.0118988
95	28800000000	G.D. TAN & COMPANY, INC.	315,000.00	0.0113994
96	25100000000	TANSENGCO & CO., INC.	304,700.00	0.0110266
97	32300000003	PERLA COMPAÑA DE SEGUROS INC.	300,000.00	0.0108566
98	12800000000	CAMPOS, LANUZA & COMPANY, INC.	274,200.00	0.0099229
99	17200000000	GUILD SECURITIES, INC.	268,900.00	0.0097311
100	13300000000	CITISECURITIES, INC.	264,700.00	0.0095791
101	20300000005	AFP GENERAL INSURANCE CORPORATION	262,600.00	0.0095031
102	BKCT1000000	BANK OF COMMERCE - TRUST SERVICES GROUP	254,000.00	0.0091919
103	PCPL1000000	UNITED COCONUT PLANTERS LIFE ASSURANCE CORPORATION	250,000.00	0.0090471
104	14300000000	DAVID GO SECURITIES CORP.	232,500.00	0.0084138
105	16200000000	F. YAP SECURITIES, INC.	231,100.00	0.0083632
106	CITI1000014	CITIBANK FAO PHILIPPINE AXA LIFE INSURANCE CORP.	222,900.00	0.0080664
107	18100000000	INVESTORS SECURITIES, INC,	207,800.00	0.0075200
108	10400000000	A. T. DE CASTRO SECURITIES CORP.	201,100.00	0.0072775

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109	26600000000	VICSAL SECURITIES & STOCK BROKERAGE, INC.	200,000.00	0.0072377
110	BCDO2000091	BDO TRUST FAO GENERALI PILIPINAS LIFE ASSURANCE COMPANY- BALANC	200,000.00	0.0072377
111	23900000000	RTG & COMPANY, INC.	196,300.00	0.0071038
112	BCDO2000063	FEDERAL PHOENIX ASSURANCE CO., INC.	185,870.00	0.0067264
113	BKCT1000148	Bank of Commerce- Trust Services Division	180,000.00	0.0065139
114	19800000000	LUCKY SECURITIES, INC.	177,100.00	0.0064090
115	21500000000	OPTIMUM SECURITIES CORPORATION	175,100.00	0.0063366
116	22500000000	PREMIUM SECURITIES, INC.	153,600.00	0.0055586
117	15700000000	FIRST ORIENT SECURITIES, INC.	153,100.00	0.0055405
118	20400000000	DA MARKET SECURITIES, INC.	144,500.00	0.0052292
119	20500000000	MERCANTILE SECURITIES CORP.	141,000.00	0.0051026
120	14000000000	IGC SECURITIES INC.	135,700.00	0.0049108
121	25200000000	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	125,000.00	0.0045236
122	10000000000	UPCC SECURITIES CORP.	119,000.00	0.0043064
123	12900000000	SINCERE SECURITIES CORPORATION	116,000.00	0.0041979
124	24000000000	S.J. ROXAS & CO., INC.	115,000.00	0.0041617
125	19900000000	LUYS SECURITIES COMPANY, INC.	113,900.00	0.0041219
126	BKCT1000010	Bank of Commerce- Trust Services Division	107,400.00	0.0038866
127	LBPT1000013	LBP-TBG AS AGENT FOR 22594 TA 01	105,500.00	0.0038179
128	BCDO2000026	BANCO DE ORO - TRUST BANKING GROUP	102,700.00	0.0037166
129	16900000000	JSG SECURITIES, INC.	95,000.00	0.0034379
130	BCDO2000089	IMA# 201-78086-3	95,000.00	0.0034379
131	24300000000	FIDELITY SECURITIES, INC.	81,002.00	0.0029313
132	12300000000	BENJAMIN CO CA & CO., INC.	80,000.00	0.0028951
133	23200000000	ALAKOR SECURITIES CORPORATION	80,000.00	0.0028951
134	28500000000	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	74,000.00	0.0026779
135	LBPT1000015	LBP-TBG AS AGENT FOR 49107 TA01	64,600.00	0.0023378
136	BCDO2000064	BDO TRUST BANKING GROUP	64,500.00	0.0023342
137	BCDO2000144	IMA#201-78159-8	62,000.00	0.0022437
138	14100000000	CUALOPING SECURITIES CORPORATION	60,000.00	0.0021713
139	BCDO2000214	BDO TRUST FAO GENERALI PILIPINAS LIFE ASSURANCE COMPANY PESO EQU	57,390.00	0.0020769
140	23700000000	AAA SOUTHEAST EQUITIES, INCORPORATED	50,000.00	0.0018094
141	38700000000	COHERCO SECURITIES, INC.	50,000.00	0.0018094
142	20800000000	MDR SECURITIES, INC.	49,200.00	0.0017805
143	14500000000	DIVERSIFIED SECURITIES, INC.	45,000.00	0.0016285
144	48800000000	TIMSON SECURITIES, INC.	35,100.00	0.0012702
145	18300000000	INTRA-INVEST SECURITIES, INC.	35,000.00	0.0012666
146	24200000000	SECURITIES SPECIALISTS, INC.	35,000.00	0.0012666
147	BCDO2000195	IMA#201-78221-6	34,000.00	0.0012304
148	BCDO2000145	IMA#201-78160-6	33,000.00	0.0011942

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S. No.	BPID	BPNAME	HOLDING	%HOLDING	
149	LBPT1000000	LAND BANK OF THE PHILIPPINES-TRUST BANKING GROUP	31,700.00	0.0011472	
150	19200000002	STRATEGIC EQUITIES CORP. FAO LIBERTY INSURANCE CORPORATION	30,000.00	0.0010857	
151	BCDO2000090	IMA#201-78090-5	30,000.00	0.0010857	
152	BCDO2000148	IMA#201-78168-9	28,000.00	0.0010133	
153	BCDO2000122	IMA#201-78031-9	27,000.00	0.0009771	
154	12500000000	JAKA SECURITIES CORP.	25,000.00	0.0009047	
155	SBAT1000000	STERLING BANK OF ASIA TRUST GROUP	25,000.00	0.0009047	
156	21000000000	MOUNT PEAK SECURITIES, INC.	24,900.00	0.0009011	
157	32300000002	CLSA PHILIPPINES, INC.	21,900.00	0.0007925	
158	12000000000	ATC SECURITIES, INC.	20,000.00	0.0007238	
159	BCDO2000014	BANCO DE ORO - TRUST BANKING GROUP	19,000.00	0.0006876	
160	18800000000	J.M. BARCELON & CO., INC.	18,500.00	0.0006695	
161	10900000000	BA SECURITIES, INC.	17,014.00	0.0006157	
162	BCDO2000213	BDO TRUST FAO GENERALI PILIPINAS LIFE ASSURANCE COMPANY PESO DRA	16,060.00	0.0005812	
163	19500000000	LITONJUA SECURITIES, INC.	15,000.00	0.0005428	
164	BCDO2000052	BDO TRUST BANKING GROUP	15,000.00	0.0005428	
165	BCDO2000085	IMA# 208-50271	15,000.00	0.0005428	
166	BCDO2000037	BDO TRUST BANKING GROUP	13,000.00	0.0004705	
167	11900000000	ASTRA SECURITIES CORPORATION	12,000.00	0.0004343	
168	22900000000	SALISBURY BKT SECURITIES CORPORATION	11,000.00	0.0003981	
169	BCDO2000015	BANCO DE ORO - TRUST BANKING GROUP	10,100.00	0.0003655	
170	19200000000	STRATEGIC EQUITIES CORP.	10,000.00	0.0003619	
171	23100000000	R & L INVESTMENTS, INC.	10,000.00	0.0003619	
172	36800000000	SunSecurities, Inc.	10,000.00	0.0003619	
173	38800000000	ARMSTRONG SECURITIES, INC.	10,000.00	0.0003619	
174	BCDO2000028	BANCO DE ORO - TRUST BANKING GROUP	9,600.00	0.0003474	
175	14200000000	DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	8,100.00	0.0002931	
176	BCDO2000094	IMA#101-78104-5	8,000.00	0.0002895	
177	LBPT1000016	LBP-TBG AS AGENT FOR 31712TA02	7,900.00	0.0002859	
178	BCDO2000141	IMA#201-78151-5	5,600.00	0.0002027	
179	10600000000	ALPHA SECURITIES CORP.	5,000.00	0.0001809	
180	25700000000	TRI-STATE SECURITIES, INC.	5,000.00	0.0001809	
181	27300000000	WONG SECURITIES CORPORATION	5,000.00	0.0001809	
182	34500000003	UNICAPITAL SECURITIES INC.	4,500.00	0.0001628	
183	RCBC3000000	RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	3,900.00	0.0001411	
184	13100000000	BDO NOMURA SECURITIES INC	3,500.00	0.0001267	
185	11600000000	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	3,000.00	0.0001086	
186	19700000000	LOPEZ, LOCSIN, LEDESMA & CO., INC.	2,000.00	0.0000724	
187	BCDO2000095	IMA#101-78105-1	1,700.00	0.0000615	
188	BCDO2000093	BLUE CROSS INSURANCE INC.	1,600.00	0.0000579	



<b>Security ID:</b> EMP000000000		<b>Security Name:</b> EMPERADOR INC.		
<b>Transfer Agent:</b> EQBKTA00000		<b>Transfer Agent Name:</b> BANCO DE ORO UNIBANK, INC. TRANSFER AGENT		
<b>S. No.</b>	<b>BPID</b>	<b>BPNAME</b>	<b>HOLDING</b>	<b>%HOLDING</b>
189	RCBC3000011	RCBC IMA NO. 81-034-7	1,600.00	0.0000579
190	BCDO2000070	IMA# 201-78069	900.00	0.0000326
191	17900000000	I. ACKERMAN & CO., INC.	200.00	0.0000072
192	20900000000	DEUTSCHE REGIS PARTNERS, INC.	136.00	0.0000049
193	33800000000	PHILIPPINE EQUITY PARTNERS, INC.	62.00	0.0000022